FORM PTO-1619A Expires 06/30/99 ИВ 0651-0027

Submission Type

Document ID #

Conveying Party(ies)

Reel#

Reel#

Correction of PTO Error

Corrective Document

07-25-2001

Department of Commerce Patent and Trademark Office PATENT

Execution Date

Resubmission (Non-Recordation

Frame

Frame

ET

R 101787561

The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). C nvevance Type

> ☐ Security Agreement Assignment License Change of Name

Merger Other U.S. Government

(For Use ONLY by U.S. Government Agencies)

Departmental File Secret File

Mark if additional names of conveying parties attached

Month Day Year Name (line 1) Clifton A. Alferness 06 12 2001

Name (line 2)

Second Party **Execution Date** Day Year Month Name (line 1) Robert Barry 06 2001 18

Name (line 2)

Mark if additional names of receiving parties attached Receiving Party Spiration, Inc. Name (line 1) If document to be recorded is an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a domestic Address (line 1) 18109 NE 76th Street representative is attached. (Designation must be a separate document from Address (line 2) Assignment.) Address (line 3) Redmond 98052 Washington

Zip Code **Domestic Representative Name and Address** Enter for the first Receiving Party only.

Name Address (line 1)

Address (line 2) Address (line 3)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS **ADDRESS**

> Mail documents t be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

00000004 09901784

Address (line 4)

40.00 99

Page 2

U.S. Department of Commerce Patent and Trademark Office

Expires 06/30/99 OMB 0651-0027		ENT
Correspondent	t Name and Address Area Code and Telephone Number (425) 455-55	575
Name	Richard O. Gray, Jr.	
Address (line 1)	Graybeal Jackson Haley LLP	
Address (line 2)	155 108th Avenue N.E., Suite 350	
Address (line 3)	Bellevue, Washington 98004-5901	
Address (line 4)		
Pages	Enter the total number of pages of the attached conveyance document including any attachments	3
Enter either t	umber(s) or Patent Number(s)	
19/90/764		
If this document is being signed by the first name	ing filed together with a <u>new</u> Patent Application, enter the date the patent application was med executing inventor.	th Day Year
· -	ration Treaty (PCT)	
only if a	PCT application number PCT	
Number of Prop	101	
Transcr of 1 10	Enter the total number of properties involved. # 1	
Fee Amount	Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00	
Method of Deposit Ac		
•	syment by deposit account or if additional fees can be charged to the account.)	27
	Deposit Account Number: # 07-189	,,,
	Authorization to charge additional fees: Yes	No 🗌
	st of my knowledge and belief, the foregoing information is true and correct and copy is a true copy of the original document. Charges to deposit account are au herein.	
Richard O. Gray, Jr.), 2001
Name of Perso	son Signing Signature	Date

FORM PTO-1619A Expires 06/30/99 CMB 0651-0027

RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

Carveying Party(ies) Mark if additional names of conveying parties attached Execution Date Month Day Year Name (line 1) Clint Finger Mark if additional names of conveying parties attached Execution Date Month Day Year 06 13 2001	02 U.B
Month Day Year	7
Month Day Year	0
	11002
Name (line 2) Execution Date	•
Month Day Year	
Name (line 1) Hugo X. Gonzalez 06 12 2001	
Name (line 2)	
Execution Date	
Name (line 1) Month Day Year	
Name (line 2)	
Receiving Party(ies)	
Enter additional Receiving Party(ies)	
Name (line 1) If document to be recorded is an assignment and the	
Name (line 2) receiving party is not domiciled in the United	
States, an appointment	
Address (line 1) of a domestic representative is attached.	
(Designation must be	
Address (line 2) a separate document from Assignment.)	
, tad 330 (iii 6 2)	
Address (line 3)	
City State/Country Zip Code	
Name (line 1)	
If document to be recorded is an assignment and the receiving	a
Name (line 2) party is not	,
domiciled in the United States, an appointment	
Address (line 1) of a domestic representative is attached.	
(Designation must be	
Address (line 2) a separate document from Assignment.)	
Address (into 2)	
Address (line 3) City State/Country Zip Code	
Application Number(s)	
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).	
Patent Application Number(s) Patent Number(s)	
	_ _
	_
	╛
	٦
	_ ¬

ASSIGNMENT OF U.S. AND FOREIGN RIGHTS IN INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One United States Dollar (\$1.00) or its equivalent, the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR <u>Clifton A. Alferness</u> , residing or having a principal place of business at <u>9010 - 258th Avenue Northeast, Redmond, Washington 98053</u> , and a citizen or juristic entity of <u>the United States</u> ,
ASSIGNOR <u>Robert Barry</u> , residing or having a principal place of business at <u>13608 88th Place N.E., Kirkland, Washington 98034</u> , and a citizen or juristic entity of <u>the United States</u> ,
ASSIGNOR <u>Clint Finger</u> , residing or having a principal place of business at <u>243 165th Avenue S.E., Bellevue, Washington 98008</u> , and a citizen or juristic entity of <u>the United States</u> ,
ASSIGNOR <u>Hugo X. Gonzalez</u> , residing or having a principal place of business at <u>23406 - 75th Avenue Southeast, Woodinville, Washington 98072</u> , and a citizen or juristic entity of <u>Ecuador</u> ,
hereby sell, assign, and transfer to:
ASSIGNEE Spiration, Inc. residing or having a principal place of business at 18109 NE 76 th St., Redmond, Washington 98052 and a citizen or juristic entity of the state of Washington , and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title, and interest in the United States and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled CONSTRICTION DEVICE VIEWABLE UNDER X RAY FLUOROSCOPY and which is found in United States patent application serial number, filed on
(if not present when this instrument is executed, applicant's attorney or agent is authorized to enter the application serial number and filing date upon receipt of the same from the United States Patent and Trademark Office), and in and to all Letters Patent to be obtained from said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent from any reissue or re-examination thereof

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts, documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention, and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

ASSIGNORS: Name: Clifton A. Alferness Signature: Title (if applicable): _ EXECUTED QN, and effective from this ______ day of ____ I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this 12 day of June 2001 of their own free will for the purpose therein expressed at the following location: **WITNESS** Name: Signature:___ Name: Robert Barry Signature: Title (if applicable): _ EXECUTED ON, and effective from this _____/& day of _______ 2001 at _____ Resources I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and own free will for the purpose therein expressed at the following location: **WITNESS** Name: Signature:_

	Name: Clint Finger
	Signature:
	Title (if applicable): Development Engineer
	EXECUTED ON, and effective from this/3 day of
	I hereby declare that I was personally present and did see the ASSIGNORS, who are personally known to me to be the persons named in the assignment, duly sign and execute the same on this, day of
	Reducand, WA
	WITNESS
,	Name: Brandon Shirman
	Signature:
	Name: Hugo X. Gonzafez Signature:
	Signature: / DUA MULE
	Signature:
	Title (if applicable): M. D. EXECUTED ON, and effective from this
	Title (if applicable):
	Signature: Title (if applicable): EXECUTED ON, and effective from this
	Title (if applicable):



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SEPTEMBER 26, 2001

PTAS

GRAYBEAL JACKSON HALEY LLP RICHARD O. GRAY, JR. 155 108TH AVENUE N.E., SUITE 350 BELLEVUE, WASHINGTON 98004-5901



101787561A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/10/2001

REEL/FRAME: 011994/0552

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALFERNESS, CLIFTON A.

DOC DATE: 06/12/2001

ASSIGNOR:

BARRY, ROBERT

DOC DATE: 06/18/2001

ASSIGNOR:

FINGER, CLINT

DOC DATE: 06/13/2001

ASSIGNOR:

GONZALEZ, HUGO X.

DOC DATE: 06/12/2001

ASSIGNEE:

SPIRATION, INC.

18109 NE 76TH STREET

REDMOND, WASHINGTON 98052

SERIAL NUMBER: 09901764

PATENT NUMBER:

FILING DATE: 07/10/2001

ISSUE DATE:

011994/0552 PAGE 2

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS